



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PAETZOLD et al

Serial No.: 09/929,516

Filed: August 13, 2001

Group No.: 2671

Examiner: Unknown

Docket No. EYEM1340

For: **METHOD AND SYSTEM FOR GENERATING FACIAL ANIMATION VALUES BASED ON A COMBINATION OF VISUAL AND AUDIO INFORMATION**

**CERTIFICATION UNDER 37 CFR § 1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 10 December 2001, in an envelope addressed to: Assistant Commissioner for Patents, 2900 Crystal Drive, Arlington, VA 22202

10 December 2001

Therence A. Meador

Date

Signature

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
2900 Crystal Drive  
Arlington, VA 22202

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated September 18, 2001.

Applicant claims small entity status.

Transmitted herewith is the executed combined Declaration/Power of Attorney document.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	16 - 20	=		x \$18.00	x \$9.00	\$00.00
Independent Claims	2 - 3	=		x \$84.00	x \$42.00	\$00.00
Basic Filing Fee				\$740.00	\$370.00	\$370.00
					TOTAL FEE	\$370.00

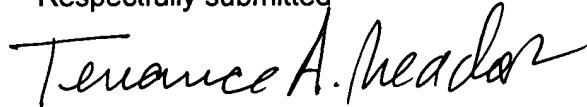
Applicant: Paetzold et al

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Enclosed in the amount of \$490.00; which consists of \$370.00 for the filing fee, \$55.00 for a one month extension and \$65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted



TERRANCE A. MEADOR

Reg. No. 30, 298

Date: 10 December 2001

GRAY CARY WARE & FREIDENRICH  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,516	08/13/2001	Frank Paetzold	EYEM1340

CONFIRMATION NO. 8236

## FORMALITIES LETTER



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25548  
GRAY CARY WARE & FREIDENRICH  
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SAN DIEGO, CA 92121-2189

Date Mailed: 09/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01 FC:201  
02 FC:205370.00 OP  
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